Dear All,

We would like to remind everyone that the UAT Inter-Region DR environment (UAT-DR) is available to all DSB users and Phase 1 is in place running for 4 weeks from 23rd September 2019 to 20th October 2019.

The DSB operates two environments for UAT:

- UAT, in which both Fee and Non-fee paying users may use to integrate with upcoming DSB software releases.
- UAT2, which is accessible only to Fee paying users, which is a mirror of the current Production environment for end to end software integration testing.

UAT-DR

Phases 1 & 2 will not affect UAT or UAT2 availability. The dates for these Phases are mentioned in the notification and available <u>here</u>.

Phase 3 will affect UAT (pre-release), with non-participants effectively losing UAT access during invocation. Dates for Phase 3 are yet to be announced.

During the UAT-DR phase 3, qualifying users may use the UAT2 environment which will not be interrupted. Users may onboard to UAT2 beforehand (link here).

Non fee paying users can maintain their access to UAT by participating in all phases of UAT-DR.

Fee paying user who require pre-release UAT validation will need to participate in all phases of UAT-DR.

12th September 2019

NOTIFICATION: DSB UAT Inter-Region Disaster Recovery Testing

Audience: All DSB Users

Notification details:

The purpose of this notification is to advise users of the following:

- Announcement of the DSB UAT Inter-Region Disaster Recovery Testing exercise schedule
- UAT Inter-Region DR environment (UAT-DR) availability to all DSB users.

Background:

The DSB infrastructure is highly-available spanning 3 active data centres in a hot-hot-hot configuration, however ongoing consultation with the TAC (Nove) has resulted in the DSB proposing an Inter-Region DR test with users. This program will incorporate a number of Phases, beginning with work to ensure all client types can route to and integrate with the DSB UAT-DR site situated in the North America (NA) region.

Please refer to the high level approach documentation of the DSB UAT-DR Testing here.

UAT-DR Phase 1 (Connectivity: Client preparation in Secondary Region):

- The DSB will provide all relevant DSB UAT-DR environment details to participating clients-to perform network connectivity tests (via the registration forms).
- Ensures all user types can route and connect to the DSB UAT-DR site situated in the NA region successfully at a basic network level.
- The registration forms will made be available on the DSB website (name), Please note that users who wish to participate must have an existing UAT account.

UAT-DR Phase 2 (Application: Client preparation in Secondary Region):

 Participating clients performing functionality tests at this phase will be activated & advised of credentials by Technical Support for use in the UAT-DR

- environment. Participating clients with an API access must have passed the Phase 1 connectivity test.
- Ensures all user types can perform relevant DSB ISIN functions applicable to their user category (programmatic FIX, programmatic ReST, GUI access) in the UAT-DR.
- UAT-DR registration will still continue during this timeline.
- Similarly to the creation of the DSB UNITY environment, the UAT-DR environment will be initialised with an empty ISIN database. ISINs produced for testing in this environment will be separate from those in the existing primary UAT.

UAT-DR Phase 3 (Failover - dates to be confirmed):

Subject to review and validation of Phases 1 and 2, with actual dates to be announced later.

- The current live UAT environment (FIX, ReST & GUI endpoints) will be failed over to the infrastructure in the secondary region (UAT-DR in phases 1 & 2) for an 8 week period.
 - o FIX endpoints: fix-uat.anna-dsb.com/fix1-uat.anna-dsb.com
 - o ReST/GUI endpoints: uat.anna-dsb.com
- Prior to the failover, the UAT-DR database will be cleared of test data and placed into synchronisation with the Primary UAT in EU.
- Failback will occur after this 8 week period.
- Full documentation regarding this Phase will be provided in an upcoming notification.
- Please note, the Primary EU UAT environment will be completely unavailable during these timeline to ensure valid DR invocation.

Timelines:

• All UAT-DR forms will be available in on the ANNA-DSB website from the **16th** September 2019.

- API Users may submit UAT-DR forms starting from 16th September 2019 and Technical Support will process their application within 5 working days.
- Phase 1 is scheduled to Run for 4 weeks beginning 23rd September 2019 to 20th October 2019.
- Phase 2 is scheduled to Run for 3 weeks beginning **21st October 2019** to **10th November 2019**.
- Phase 3 is not currently scheduled. An announcement regarding the dates for this phase will be made a later date.